

19. Synthesis of 4,6,8-Trisubstituted Methyl Azulene-2-carboxylates

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It is shown that sodium (methoxycarbonyl)cyclopentadienide (**1**), which is easily accessible from sodium cyclopentadienide and dimethyl carbonate in THF, reacts with 2,4,6-trisubstituted pyrylium tetrafluoroborates **2a-d** in boiling MeOH to afford the corresponding methyl azulene-2-carboxylates **4a-d** in good yields. The corresponding 1-carboxylates **3** were not found (*cf. Schemes 1 and 2*).

Whereas the synthesis of azulenes, substituted at the seven-membered ring, can easily be accomplished by the reaction of 2,4,6-trisubstituted pyrylium salts with sodium cyclopentadienide in THF (*cf. [1] [2]*) or of *N*-alkylpyridinium salts with sodium cyclopentadienide in DMF (*cf. [1] [3]*), it is much more problematic to react substituted cyclopentadienides under the above mentioned conditions, since, in general, mixtures of the 1- and 2-substituted azulenes are obtained which are difficult to separate (*cf. [1] [4]*). The reaction of 2,4,6-trimethylpyrylium perchlorate with sodium methylcyclopentadienide under carefully controlled conditions in THF leads to the formation of 2,4,6,8-tetramethylazulene in moderate yields [**2a**]²⁾.

We were interested in a plain synthesis of 4,6,8-trisubstituted methyl azulene-2-carboxylates and checked the reaction of sodium (methoxycarbonyl)cyclopentadienide (**1**), which is easily accessible by the reaction of dimethyl carbonate and sodium cyclopentadienide in THF at 70° [5], with 2,4,6-trimethylpyrylium tetrafluoroborate (**2a**). In THF, we obtained only mixtures of the corresponding 1- and 2-carboxylates **3a** and **4a** (*Scheme 1*; *cf. also [6]*).

However, when the reaction was run in boiling MeOH, only the 2-carboxylate **4a** was formed in 47% yield. It was deposited as a microcrystalline powder directly from the boiling solution. It was pure and showed no traces of the 1-carboxylate **3a**. The examples in *Scheme 2* show that the described procedure can generally be applied to the synthesis of 4,6,8-trisubstituted azulene-2-carboxylates.

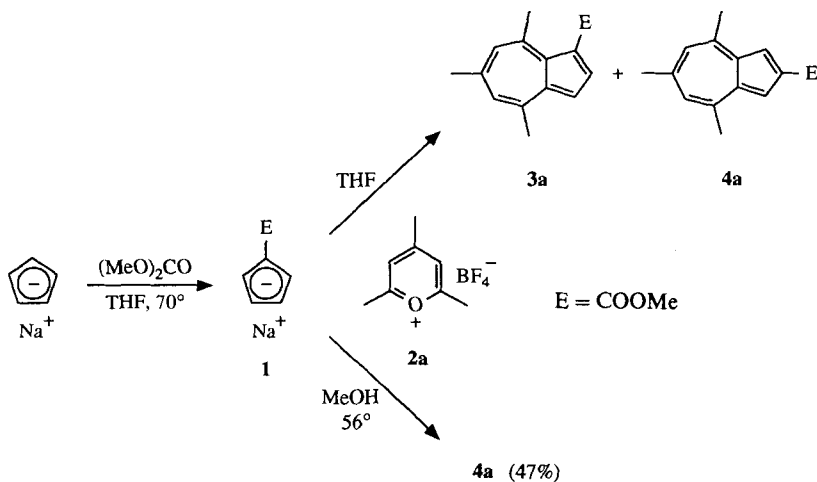
We assume that, in the protic solvent MeOH, **1** reacts in its 6-methoxyfulvene 6-oxide form, *i.e.*, it should attack the corresponding pyrylium salts with its C(3,4)-atoms, favoring the formation of the 2-carboxylates.

The azulene-2-carboxylates can be reduced in one step to the corresponding 2-methylazulenes with sodium dihydridobis(2-methoxyethoxy)aluminum in the presence of AlCl₃ in toluene (*cf. Exper. Part*).

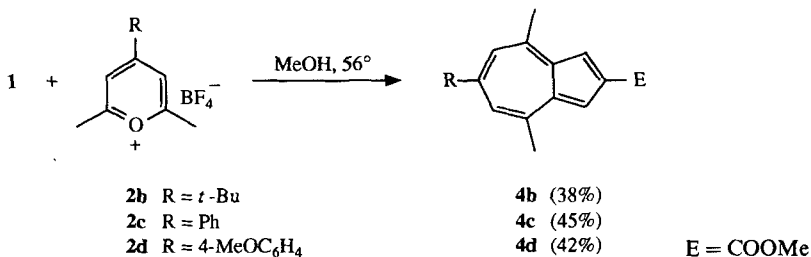
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²⁾ Our own experience showed us that the corresponding pyrylium tetrafluoroborate always yields mixtures of 1,4,6,8- and 2,4,6,8-tetramethylazulene.

Scheme 1



Scheme 2



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Experimental Part

General. See [7] [8]. All reactions were performed under N₂. Et₂O and hexane were distilled before use. Cyclopentadiene (from dicyclopentadiene, *Fluka, pract.*) was freshly distilled over a *Vigreux* column before use. NaH (60–65% dispersion in oil, *Fluka*) was washed with hexane before use. THF (*Fluka, puriss. p.a.*), MeOH (*Merck, z. A.*), dimethyl carbonate (*Fluka, purum*), and 2,6-dimethyl-4-pyrone (*Fluka, purum*) were used without further purification.

1. Formation of the Methyl Azulene-2-carboxylates. 1.1. *Methyl 4,6,8-Trimethylazulene-2-carboxylate (4a).* NaH (4.5 g, 0.19 mol) was suspended in THF (190 ml). The suspension was cooled to 0°, and cyclopentadiene (39 ml, 0.38 mol) was added dropwise. The mixture turned red. After all cyclopentadiene had been added, stirring was continued for 1 h at 0° and then the soln. warmed up to r.t. At that point, (MeO)₂CO (50 ml, 0.58 mol) was added dropwise under stirring. After 10 min at r.t., the soln. was heated during 4 h under reflux. The solvent was removed *in vacuo* and the ocker-colored residue suspended in MeOH (250 ml). 2,4,6-Trimethylpyrylium tetrafluoroborate (**2a**; 21.5 g, 0.11 mol) [9] was added with stirring. The mixture turned at once blue. It was heated under reflux during 12 h. The blue microcrystalline precipitate was filtered, washed with H₂O (2 × 200 ml) and cooled MeOH (2 × 100

ml) to yield pure **4a** (12.1 g, 47% with respect to **2a**). M.p. 175.3–176.7° (Et₂O). *R*_f (hexane/Et₂O 1:1): 0.52. UV (hexane): λ_{\max} 368.0 (3.77), 351.4 (3.72), 339.2 (3.66, sh), 301.7 (4.70), 291.5 (4.70), 249.5 (4.43), 218.4 (4.09); λ_{\min} 360.7 (3.54), 310.2 (3.43), 297.5 (4.64), 266.8 (3.83), 227.1 (4.02). IR (CHCl₃): 3021w, 2926w, 1700s, 1540m, 1506m, 1435m, 1324m, 1249m, 1130s. ¹H-NMR (300 MHz, CDCl₃): 7.76 (s, H–C(1,3)); 7.05 (s, H–C(5,7)); 3.95 (s, COOMe); 2.86 (s, Me–C(4,8)); 2.61 (s, Me–C(6)). ¹³C-NMR (75 MHz, CDCl₃): 168.51 (COOMe); 151.95 (C(6)); 151.88 (C(4,8)); 137.70 (C(3a,8a)); 135.22 (C(2)); 129.92 (C(5,7)); 118.99 (C(1,3)); 53.48 (COOMe); 30.84 (Me–C(6)); 26.94 (Me–C(4,8)). EI-MS: 227 (100, *M*⁺), 196 (27, [*M* – OCH₃]⁺). Anal. calc. for C₁₅H₁₆O₂ (227.27): C 79.27, H 7.10; found: C 79.02, H 7.03.

1.2. *Methyl 6-(tert-Butyl)-4,8-dimethylazulene-2-carboxylate (4b)*. 1.2.1. *4-(tert-Butyl)-2,6-dimethylpyrylium Tetrafluoroborate (2b)*. It was prepared in analogy to [10] from 2,6-dimethyl-4-pyrone and *t*-BuMgCl/Et₂O (crystallized from MeOH/EtOH as long white needles in a yield of 35%). M.p. 200.1–200.9° (MeOH/EtOH). ¹H-NMR (300 MHz, (D₆)acetone): 8.27 (s, H–C(3,5)); 3.02 (s, Me–C(2,6)); 1.49 (s, *t*-Bu).

1.2.2. *Formation of 4b*. The ester was prepared in analogy to **4a** starting with NaH (1.2 g, 50 mmol), THF (50 ml), cyclopentadiene (10.3 ml, 100 mmol), (MeO)₂CO (13.2 ml, 153 mmol), **2b** (4.78 g, 29 mmol), and MeOH (65 ml). The ester **4b** (3.0 g; 38% with respect to **2b**) was obtained as a blue microcrystalline powder. M.p. 160.5–162.1° (hexane/AcOEt). *R*_f (hexane/Et₂O 1:1): 0.61. UV (hexane): λ_{\max} 366.2 (3.86), 349.5 (3.80), 296.0 (4.80), 285.8 (4.81), 244.5 (4.53), 213.2 (4.16); λ_{\min} 358.5 (3.65), 339.2 (3.77), 320.8 (3.69), 291.3 (4.77), 258.7 (4.27), 221.0 (4.13). IR (KBr): 2996w, 2961w, 1710s, 1575m, 1323m, 1221s. ¹H-NMR (300 MHz, CDCl₃): 7.76 (s, H–C(1,3)); 7.33 (s, H–C(5,7)); 3.96 (s, COOMe); 2.93 (s, Me–C(4,8)); 1.46 (s, *t*-Bu). ¹³C-NMR (75 MHz, CDCl₃): 167.17 (COOMe); 162.15 (C(6)); 149.69 (C(4,8)); 135.97 (C(3a,8a)); 133.86 (C(2)); 124.74 (C(5,7)); 116.65 (C(1,3)); 51.57 (COOMe); 38.94 (Me₃C); 31.84 (Me₃C); 25.71 (Me–C(4,8)). MS: 270 (100, *M*⁺), 255 (11, [*M* – CH₃]⁺), 239 (9, [*M* – OCH₃]⁺). Anal. calc. for C₁₈H₂₂O₂ (270.37): C 79.97, H 7.20; found: C 79.76, H 7.15.

1.3. *Methyl 4,8-Dimethyl-6-phenylazulene-2-carboxylate (4c)*. 1.3.1. *2,6-Dimethyl-4-phenylpyrylium Tetrafluoroborate (2c)*. It was prepared from 2,6-dimethyl-4-pyrone and PhMgBr/Et₂O (crystallized from MeOH/EtOH as red-brown platelets and needles in a yield of 73%). M.p. 201.9–202.4° (MeOH/EtOH). ¹H-NMR (300 MHz, (D₆)acetone): 8.61 (s, H–C(3,5)); 8.33 (*d* (f.s.), ³*J* = 7.2, H–C(2,6) of Ph); 7.88 (*t* (f.s.), ³*J* = 7.2, H–C(4) of Ph); 7.77 (*t* (f.s.), ³*J* = 7.2, H–C(3,5) of Ph); 3.08 (s, Me–C(2,6)).

1.3.2. *Formation of 4c*. The ester was prepared in analogy to **4a** starting with NaH (1.2 g, 50 mmol), THF (50 ml), cyclopentadiene (10.3 ml, 100 mmol), (MeO)₂CO (13.2 ml, 153 mmol), **2c** (5.37 g, 29 mmol), and MeOH (65 ml). The ester **4c** (3.80 g; 45% with respect to **2c**) was obtained as a blue-greenish microcrystalline powder. M.p. 165.3–167.5° (hexane/AcOEt). *R*_f (hexane/Et₂O 1:1): 0.49. UV (hexane): λ_{\max} 377.4 (4.02), 346.0 (3.74, sh), 333.5 (3.66), 304.1 (4.75), 295.7 (4.69, sh), 248.5 (4.26), 212.9 (3.93); λ_{\min} 338.2 (3.63), 320.8 (3.63), 262.0 (3.56), 224.6 (3.83). IR (KBr): 3022w, 2971w, 1714s, 1577m, 1452m, 1329m, 1230s. ¹H-NMR (300 MHz, CDCl₃): 7.86 (s, H–C(1,3)); 7.63 (*dd*, ³*J* = 6.8, ⁴*J* = 1.3, H–C(2,6) of Ph); 7.52–7.43 (*m*, H–C(3,4,5) of Ph); 7.37 (s, H–C(5,7)); 3.98 (s, COOMe); 2.97 (s, Me–C(4,8)). ¹³C-NMR (75 MHz, CDCl₃): 165.07 (COOMe); 150.14 (C(4,8)); 145.27 (C(6)); 136.11 (C(3a,8a)); 134.32 (C(2)); 134.03 (C(1) of Ph); 128.64 (2 arom. C); 128.54 (2 arom. C); 128.16 (C(4) of Ph); 127.31 (C(5,7)); 177.44 (C(1,3)); 51.93 (COOMe); 25.39 (Me–C(4,8)). MS: 290 (100, *M*⁺), 259 (49, [*M* – OCH₃]⁺). Anal. calc. for C₂₀H₁₈O₂ (290.36): C 82.74, H 6.25; found: C 82.73, H 6.21.

1.4. *Methyl 6-(4-Methoxyphenyl)-4,8-dimethylazulene-2-carboxylate (4d)*. 1.4.1. *4-(4-Methoxyphenyl)-2,6-dimethylpyrylium Tetrafluoroborate (2d)*. It was prepared from 2,6-dimethyl-4-pyrone and (4-MeOC₆H₄)MgBr/Et₂O (crystallized from MeOH/EtOH as a microcrystalline yellowish powder). M.p. 203.8–204.6° (MeOH/EtOH). ¹H-NMR (300 MHz, (D₆)acetone): 8.56 (s, H–C(3,5)); 8.45 (*d* (f.s.), ³*J* = 9.0, H–C(2,6) of 4-MeOC₆H₄); 7.33 (*t* (f.s.), ³*J* = 9.0, H–C(3,5) of 4-MeOC₆H₄); 4.04 (s, CH₃O); 2.96 (s, Me–C(2,6)).

1.4.2. *Formation of 4d*. The ester was prepared in analogy to **4a** starting with NaH (1.2 g, 50 mmol), THF (50 ml), cyclopentadiene (10.3 ml, 100 mmol), (MeO)₂CO (13.2 ml, 153 mmol), **2a** (5.37 g, 29 mmol), and MeOH (65 ml). The ester **4d** (3.90 g; 42% with respect to **2d**) was obtained as a microcrystalline greenish powder. M.p. 169.8–172.5° (hexane/AcOEt). *R*_f (hexane/Et₂O 1:1): 0.45. UV (hexane): λ_{\max} 381.1 (4.32), 320.5 (4.78), 308.7 (4.71), 292.5 (4.61), 243.0 (4.50, sh), 233.4 (4.52); λ_{\min} 356.1 (4.12), 312.8 (4.69), 297.0 (4.60), 263.9 (4.26). IR (KBr): 3042w, 2976w, 1718s, 1576m, 1516m, 1441m, 1326m, 1298m, 1264m, 1223s. ¹H-NMR (300 MHz, CDCl₃): 7.83 (s, H–C(1,3)); 7.58 (*d*, ³*J* = 8.5, H–C(2,6) of 4-MeOC₆H₄); 7.35 (s, H–C(5,7)); 7.02 (*d*, ³*J* = 8.8, H–C(3,5) of 4-MeOC₆H₄); 3.98 (s, COOMe); 3.88 (s, MeO); 2.96 (s, Me–C(4,8)). ¹³C-NMR (75 MHz, CDCl₃): 165.83 (COOMe); 151.71 (C(6)); 150.13 (C(4,8)); 137.68 (C(4) of 4-MeOC₆H₄); 135.94 (C(3a,8a)); 133.67 (C(2)); 129.94 (C(2,6) of 4-MeOC₆H₄); 127.52 (C(1) of 4-MeOC₆H₄); 127.09 (C(5,7)); 117.46 (C(1,3)); 114.25 (C(3,5) of 4-MeOC₆H₄); 55.46 (MeO); 51.75 (COOMe); 25.52 (Me–C(4,8)). MS: 320 (100, *M*⁺), 289 (31, [*M* – OCH₃]⁺). Anal. calc. for C₂₁H₂₀O₃ (320.39): C 78.72, H 6.29; found: C 78.62, H 6.29.

2. Reduction of **4a**. AlCl_3 (0.53 g, 4.0 mmol; *Fluka, puriss.*) was added to toluene (10 ml). After cooling to 0° , sodium dihydridobis(2-methoxyethoxy)aluminum in toluene (4.6 ml, 16 mmol; *Fluka, pract.* 70% in toluene) was added and the mixture stirred for 1 h at 0° . After this time, a soln. of **4a** (0.86 g, 4 mmol) in toluene (20 ml) was added dropwise. The temp. went up to $28\text{--}30^\circ$, and the color of the mixture changed from blue to red-blue. TLC showed that most of **4a** had reacted to 2-(hydroxymethyl)-4,6,8-trimethylazulene, and only traces of 2,4,6,8-tetramethylazulene were recognizable. Stirring was continued at r.t. for 20 h. After this time, only 2,4,6,8-tetramethylazulene was detectable by TLC. An aq. soln. of NaOH (20 ml, 20%) was added and the colorless precipitate removed by filtration. The filtrate was extracted with Et_2O , and the combined org. layers, after washing with H_2O , were dried (MgSO_4). Evaporation of the solvent yielded pure 2,4,6,8-tetramethylazulene (0.65 g, 90%; cf. [10]). M.p. $99.8\text{--}101.1^\circ$ (EtOH). R_f (hexane/ Et_2O 1:1): 0.71. UV (hexane): λ_{max} 351.8 (3.74), 313.3 (3.82), 293.6 (4.76), 285.2 (4.71), 245.6 (4.45); λ_{min} 342.7 (3.60), 311.8 (3.78), 287.3 (4.73), 258.5 (3.63), 220.9 (4.23). IR (KBr): 3005w, 2923w, 1578m, 1501m, 1447m, 1375w, 1333m, 1312w, 1088w, 1027w. $^1\text{H-NMR}$ (300 MHz, CDCl_3): 7.12 (s, H-C(1,3)); 7.02 (s, H-C(5,7)); 2.82 (s, Me-C(4,8)); 2.61, 2.59 (2s, Me-C(2,6)).

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